

# Introduction to Hadronic Final State Reconstruction in Collider Experiments (Part IV)

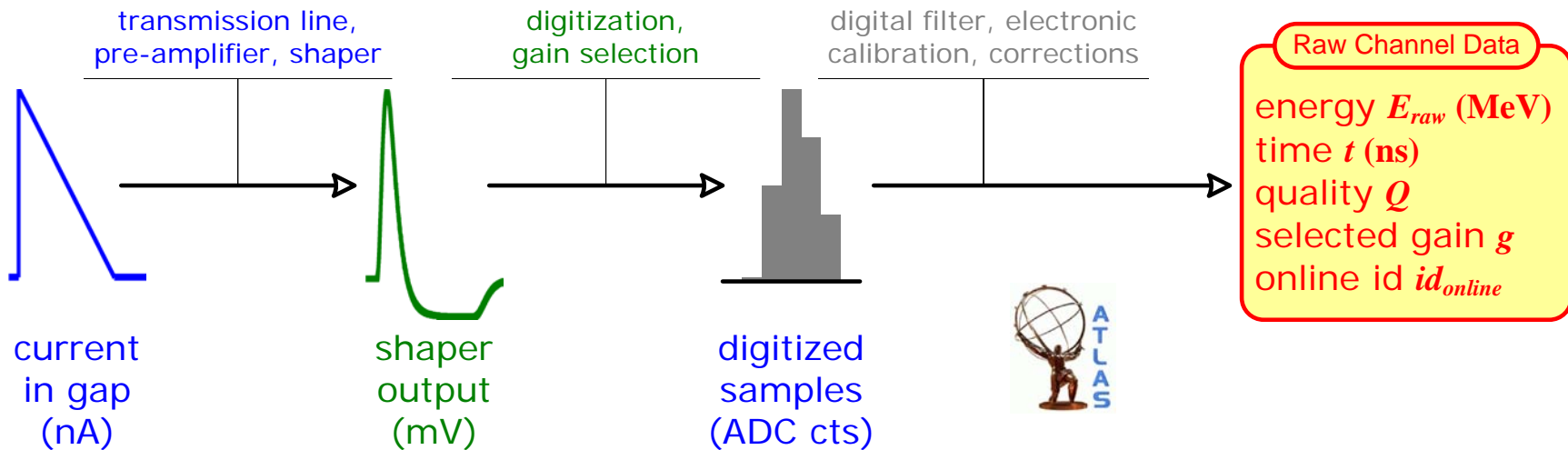
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Tucson, Arizona

USA





## What is response?

### Reconstructed calorimeter signal

Based on the direct measurement – the raw signal  
 May include noise suppression

### Has the concept of **signal (or energy) scale**

Mostly understood as the basic signal before final calibrations

### Does not explicitly include particle or jet hypothesis

Uses only calorimeter signal amplitudes, spatial distributions, etc.

$$E_{raw} = A_{peak} \times \underbrace{[\text{ADC} \rightarrow \text{nA}]}_{\text{current calibration}}$$

$$\times \underbrace{([\text{HV}] \times [\text{cross-talk}] \times [\text{purity}])}_{\text{electronic and efficiency corrections}}$$

$$\times \underbrace{[\text{nA} \rightarrow \text{MeV}]}_{\text{energy calibration}}$$



## Slow signal collection in liquid argon calorimeters

~450 ns @ 1 kV/mm drift time versus 40 MHz/25 ns bunch crossing time

Measure only  $I_0 = I(t_0)$   
(integrate <25 ns)

Applying a fast bi-polar signal shaping

Shaping time ~15 ns

With well known shape

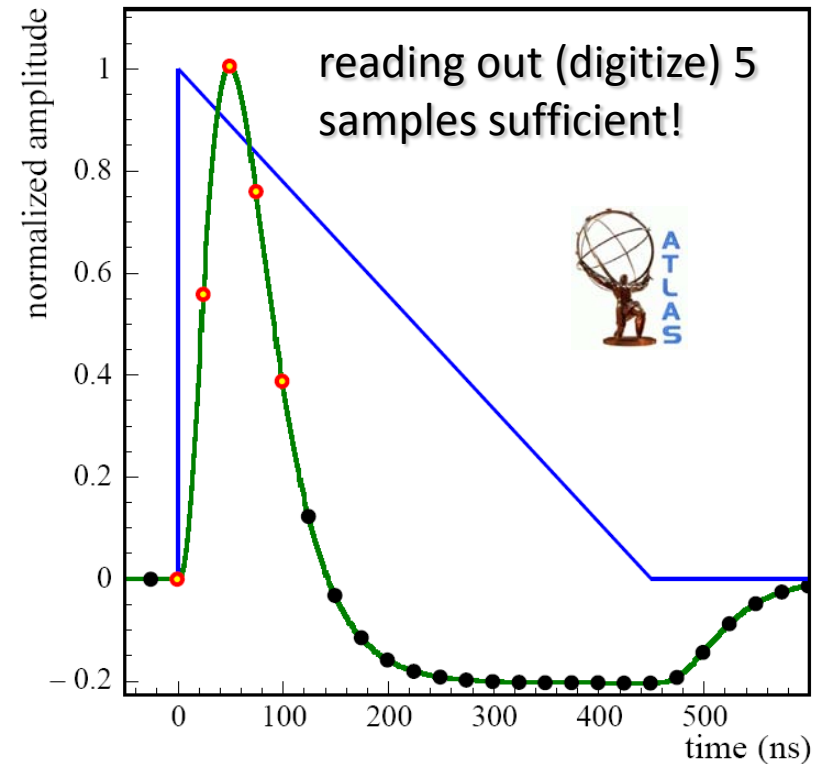
Shaped pulse integral = 0

Net average signal contribution from pile-up = 0

Need to **measure the pulse shape** (time sampled readout)

Total integration ~25 bunch crossings

23 before signal, 1 signal, 1 after signal



## What is digital filtering

Unfolds the expected (theoretical) pulse shape from a measured pulse shape

## Determines signal amplitude and timing

Minimizes noise contributions

Noise reduced by ~1.4 compared to single reading

Note: noise depends on the luminosity

Requires explicit knowledge of pulse shape

Folds triangular pulse with transmission line characteristics and active electronic signal shaping

Characterized by signal transfer functions depending on  $R$ ,  $L$ ,  $C$  of readout electronics, transmission lines

## Filter coefficients from calibration system

Pulse “ramps” for response

Inject known currents into electronic chain

Use output signal to constrain coefficients

Noise for auto-correlation

Signal history couples fluctuations in time sampled readings

Signal amplitude  $A_{\text{peak}}$  (energy):

$$A_{\text{peak}} = \sum_{i=1}^{N_s} a_i (s_i - p), \text{ with } \begin{cases} a_i & \text{digital filter coefficient} \\ s_i & \text{reading in time sample} \\ p & \text{pedestal reading} \end{cases}$$

Signal peak time  $t_{\text{peak}}$ :

$$A_{\text{peak}} t_{\text{peak}} = \sum_{i=1}^{N_s} b_i (s_i - p)$$

W.E. Cleland and E.G. Stern, Nucl. Inst. Meth. **A338 (1994) 467**.



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Constraints for digital filter coefficients  $a_i$ :

$$\sum_{i=1}^{N_s} a_i g_i = 1, \text{ with } g_i \text{ being the}$$

normalized physics pulse shape

$$\sum_{i=1}^{N_s} a_i \frac{\partial g_i}{\partial t} = 0$$





$S_i$ ..... signal amplitude in sample  $i$   
 $P$ ..... analog-to-digital converter pedestal  
 $N_s$ ..... number of digital samples (def. 5)  
 $a_i$ ..... digital filter coefficient  
 $R_{ij}$ ..... noise auto-correlation  
 $g_i$ ..... normalized physics pulse shape:

## Filter Coefficients

Determined by:

- Known pulse shape
- Minimizing noise

to 1<sup>st</sup> order  
independent  
of time jitter!

$$\sum_{i=1}^{N_s} a_i g_i = 1$$

$$\sum_{i=1}^{N_s} a_i \frac{\partial g_i}{\partial t} = \sum_{i=1}^{N_s} a_i g'_i = 0$$

$$\sum_{i=1}^{N_s} \sum_{j=1}^{N_s} a_i a_j \sigma_i \sigma_j R_{ij} \rightarrow \min$$

$$a_i = \sum_{j=1}^{N_s} R_{ij}^{-1} (\lambda g_j - \mu g'_j) \left\{ \begin{array}{l} \lambda = \frac{1}{\Delta} \sum_{i=1}^{N_s} \sum_{j=1}^{N_s} R_{ij}^{-1} g'_i g'_j \\ \mu = \frac{1}{\Delta} \sum_{i=1}^{N_s} \sum_{j=1}^{N_s} R_{ij}^{-1} g_i g'_j \\ \Delta = \left( \sum_{i=1}^{N_s} \sum_{j=1}^{N_s} R_{ij}^{-1} g'_i g'_j \right) \cdot \left( \sum_{i=1}^{N_s} \sum_{j=1}^{N_s} R_{ij}^{-1} g_i g_j \right) - \left( \sum_{i=1}^{N_s} \sum_{j=1}^{N_s} R_{ij}^{-1} g_i g'_j \right)^2 \end{array} \right.$$

**Lagrange Multipliers**



## What does signal or energy scale mean?

Indicates a certain level of signal reconstruction

Standard reconstruction often stops with a **basic signal scale**

**Electromagnetic energy scale** is a good reference

Uses direct signal proportionality to electron/photon energy

Accessible in test beam experiments

Can be validated with isolated particles in collision environment

Provides good platform for data and simulation comparisons

Does not necessarily convert the electron signal to the true photon/electron energy!

**Hadronic signals can also be calculated on this scale**

Good platform for comparisons to simulations

But does not return a good estimate for the deposited energy in non-compensating calorimeters – see later discussion!

**Is not a fundamental concept of physics!**

Is a calorimeter feature

Definition varies from experiment to experiment

Recall electrons/photons in sampling calorimeters:

$$E_{\text{vis}} = N_x \int_0^{d_{\text{active}}} \frac{dE}{dx} dx = N_x \Delta E \propto E_0$$

Electron sampling fraction  $S_e$  relates signal and deposited energy:

$$S_e = \frac{E_{\text{vis}}}{E_{\text{dep}}} \approx \frac{E_{\text{vis}}}{E_0} \rightarrow E_{\text{rec}}^{\text{em}} = \frac{1}{S_e} E_{\text{vis}} = c_e A \equiv E_{\text{dep}} \approx E_0$$

with  $c_e$  being the electron calibration constant.

( $S_e$  is a unitless fraction,  $c_e$  converts a signal unit into an energy unit, e.g. nA  $\rightarrow$  MeV)

Response often denoted  $e = e(E_{\text{dep}}) = E_{\text{rec}}^{\text{em}}(c_e, A)$



Single hadron response:

$$\pi(E_0) = f_{em}(E_0) \cdot e + (1 - f_{em}(E_0)) \cdot h$$

with  $\left\{ \begin{array}{l} f_{em}(E_0) \text{ intrinsic em fraction} \\ h \text{ response of pure hadronic shower branch} \end{array} \right.$

Non-compensation measure:

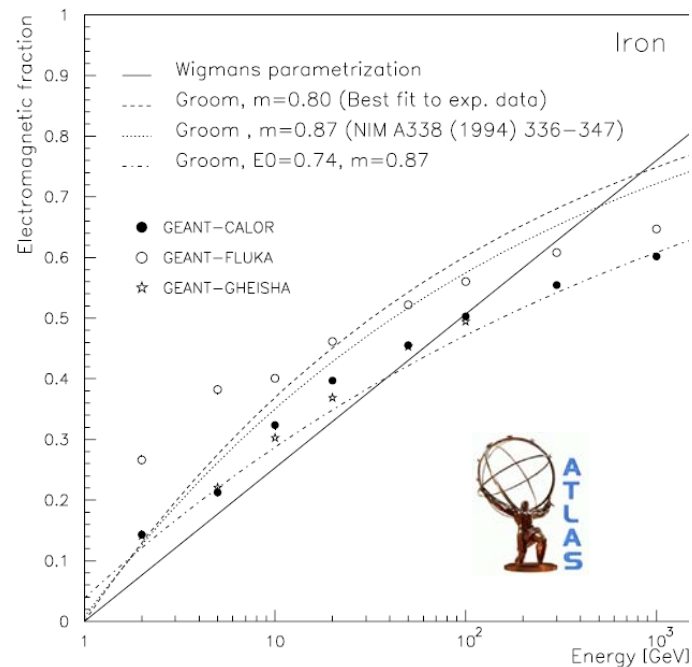
$$\frac{e}{\pi} = \frac{1}{f_{em}(E_0) + (1 - f_{em}(E_0)) \cdot h/e}$$

Popular parametrization by Groom et al.:

$$f_{em}(E_0) = 1 - (E_0/E_{base})^{m-1}$$

$$m = 0.80 - 0.85, E_{base} = \begin{cases} 1.0 \text{ GeV} & \text{for } \pi^\pm \\ 2.6 \text{ GeV} & \text{for } p \end{cases}$$

D.Groom et al., NIM A338, 336-347 (1994)





Observable

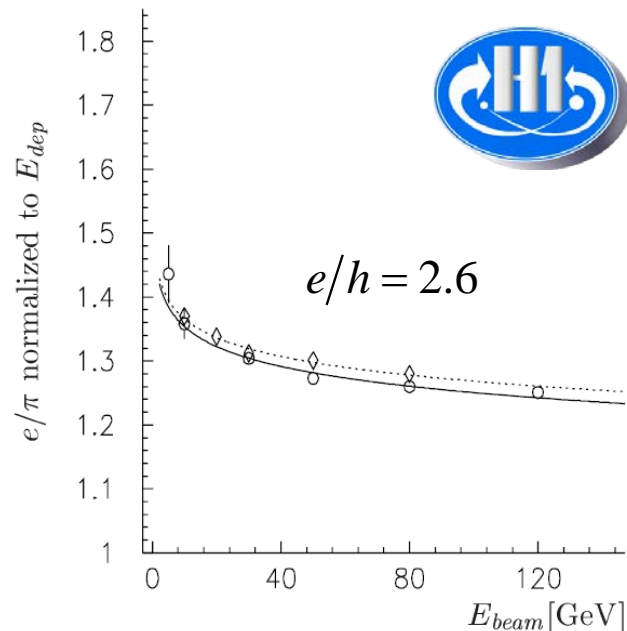
$$\frac{e}{\pi} = \frac{e}{\left(E_0/E_{\text{base}}\right)^{m-1} h + \left(1 - \left(E_0/E_{\text{base}}\right)^{m-1}\right) e}$$

$$= \frac{1}{1 - \left(1 - h/e\right)\left(E_0/E_{\text{base}}\right)^{m-1}}$$

provides experimental access to characteristic calorimeter variables in pion test beams by fitting  $h/e$ ,  $E_{\text{base}}$  and  $m$  from the energy dependence of the pion signal on electromagnetic energy scale:

$$\frac{e}{\pi} = \frac{E_0}{E_{\text{rec}}^{\text{em}}(\pi)} \approx \frac{E_{\text{dep}}}{E_{\text{rec}}^{\text{em}}(\pi)}$$

Note that  $e/h$  is often constant, for example: in both H1 and ATLAS about 50% of the energy in the hadronic branch generates a signal independent of the energy itself



## Complex mixture of hadrons and photons

Not a single particle response  
 Carries initial electromagnetic energy

Mainly photons

## Very simple response model

Assume the hadronic jet content is represented by 1 particle only

Not realistic, but helpful to understand basic response features

## More evolved model

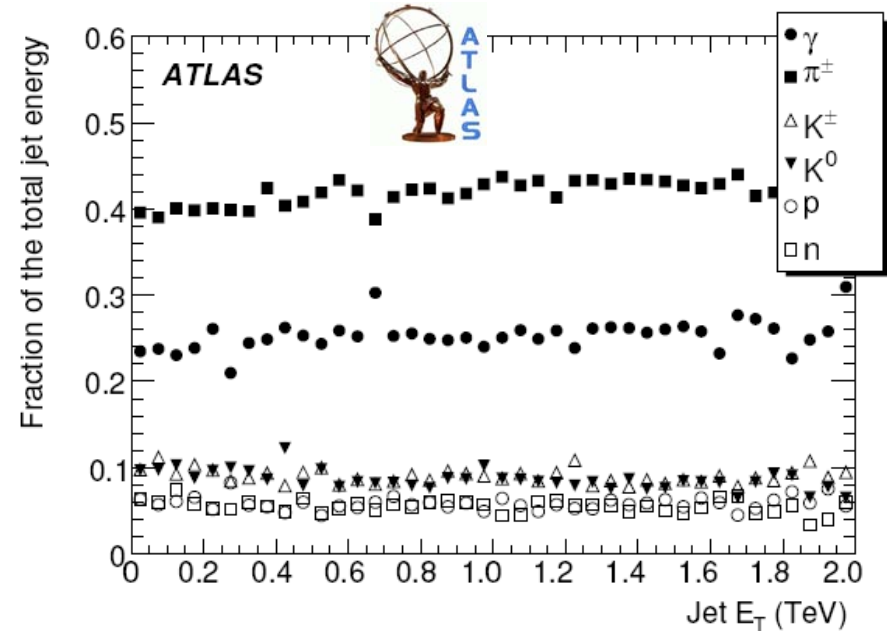
Use fragmentation function in jet response

This has some practical considerations

E.g. jet calibration in CDF

Gets non-compensation effect

Does not address acceptance effect due to shower overlaps



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$$\frac{j(E_{\text{jet}})}{e} = f_{\gamma}^{\text{jet}} + (1 - f_{\gamma}^{\text{jet}}) \cdot \left( f_{\text{em}} + (1 - f_{\text{em}}) \frac{h}{e} \right)$$

$$f_{\text{em}} = f_{\text{em}}(E_{\text{jet}}^{\text{had}}), \quad E_{\text{jet}}^{\text{had}} = (1 - f_{\gamma}^{\text{jet}}) E_{\text{jet}}$$

[single particle approximation]

$$f_{\text{em}} = 1 - \left( \frac{E_{\text{jet}}^{\text{had}}}{E_{\text{base}}} \right)^{1-m}$$

[Groom's parameterization]



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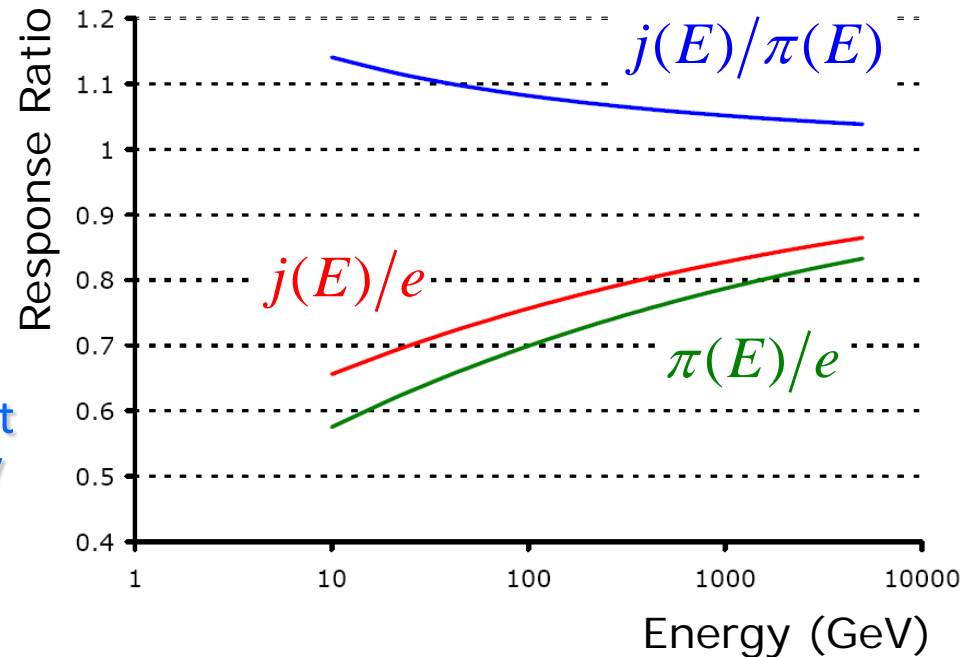
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$$\begin{aligned}
 & \frac{j(E_{\text{jet}})}{e} \\
 &= f_{\gamma}^{\text{jet}} \\
 &+ \underbrace{\left(1 - f_{\gamma}^{\text{jet}}\right) \int_{\text{hadrons}} \left[ f_{\text{em}}(E_{\text{had}}) + (1 - f_{\text{em}}(E_{\text{had}})) \frac{h}{e} \right] dE_{\text{had}}}_{\text{composition of hadronic component given by jet fragmentation function}} \\
 &= f_{\gamma}^{\text{jet}} \\
 &+ \left(1 - f_{\gamma}^{\text{jet}}\right) \sum_{\text{hadrons}} \left[ 1 - \left(\frac{E_{\text{had}}}{E_{\text{base}}}\right)^{m-1} + \left(\frac{E_{\text{had}}}{E_{\text{base}}}\right)^{m-1} \frac{h}{e} \right] \\
 &= f_{\gamma}^{\text{jet}} + \left(1 - f_{\gamma}^{\text{jet}}\right) \sum_{\text{hadrons}} \left( 1 + \left(E_{\text{had}}/E_{\text{base}}\right)^{m-1} (h/e - 1) \right)
 \end{aligned}$$



## Noise

Fluctuations of the “zero” or “empty” signal reading

Pedestal fluctuations

Independent of the signal from particles

At least to first order

Mostly incoherent

No noise correlations between readout channels

Noise in each channel is independent oscillator

Gaussian in nature

Pedestal fluctuations ideally follow normal distribution around 0

Width of distribution ( $1 \sigma$ ) is noise value

## Signal significance

Noise can fake particle signals

Only signals exceeding noise can be reliably measured

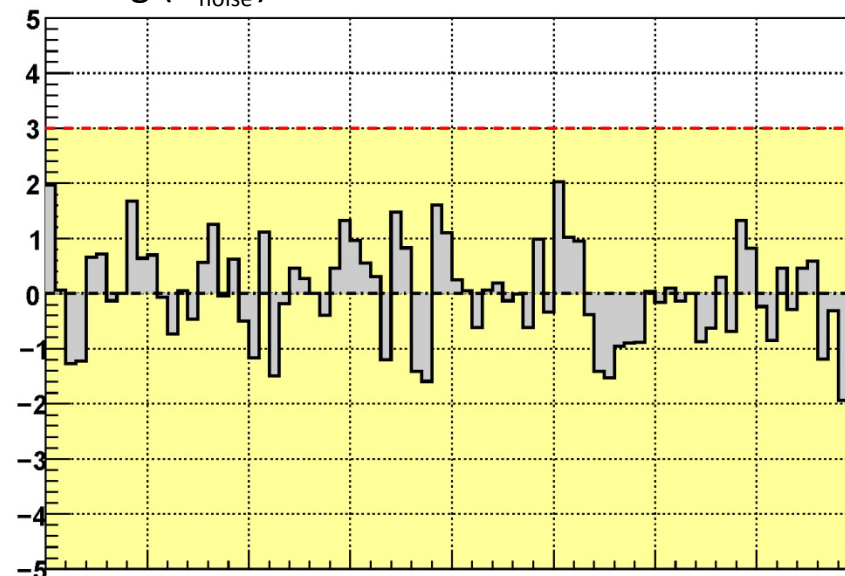
Signals larger than  $3 \times$  noise are very likely from particles

Gaussian interpretation of pedestal fluctuations

Calorimeter signal reconstruction aims to suppress noise

Average contribution = 0, but adds to fluctuations!

Reading ( $\sigma_{\text{noise}}$ )



Spatial Coordinate/Calorimeter Cell

## Small signal:

Noise only

Signal on top of noise

Sum of noise and signal

Signal after noise suppression



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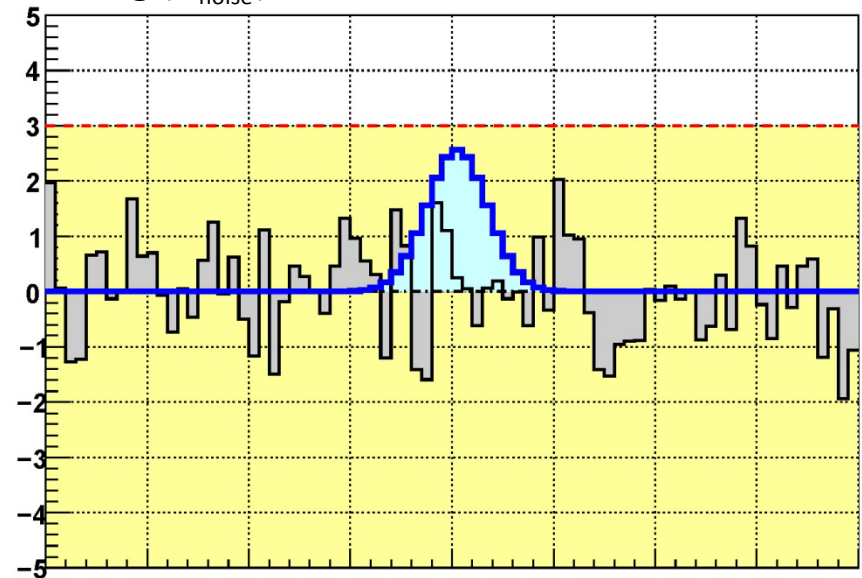
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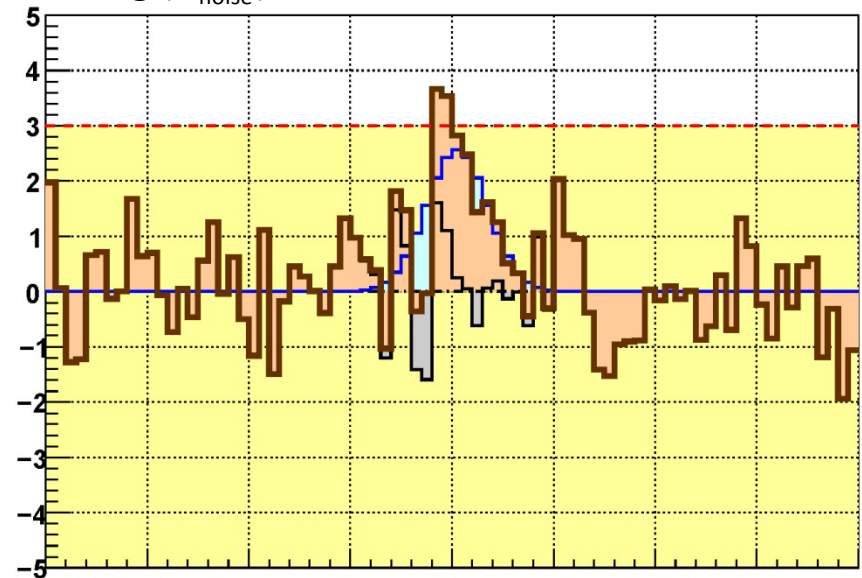
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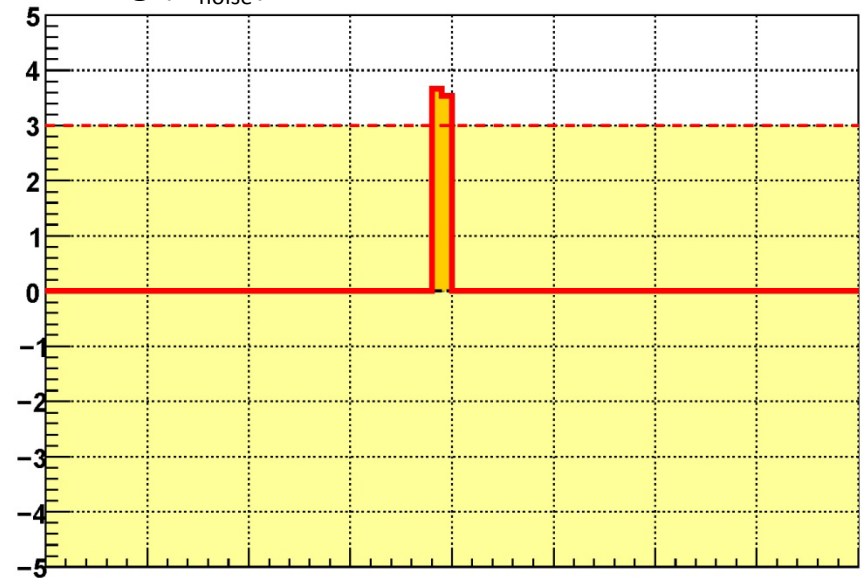
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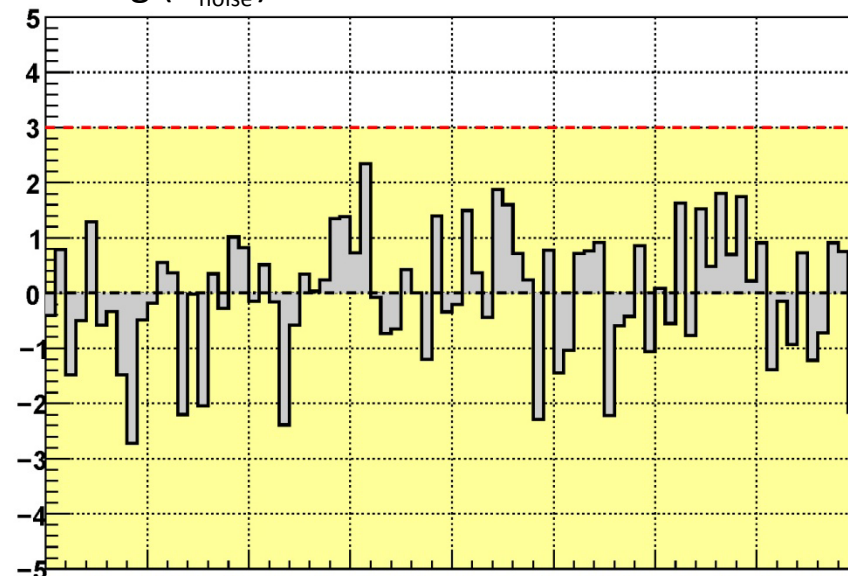
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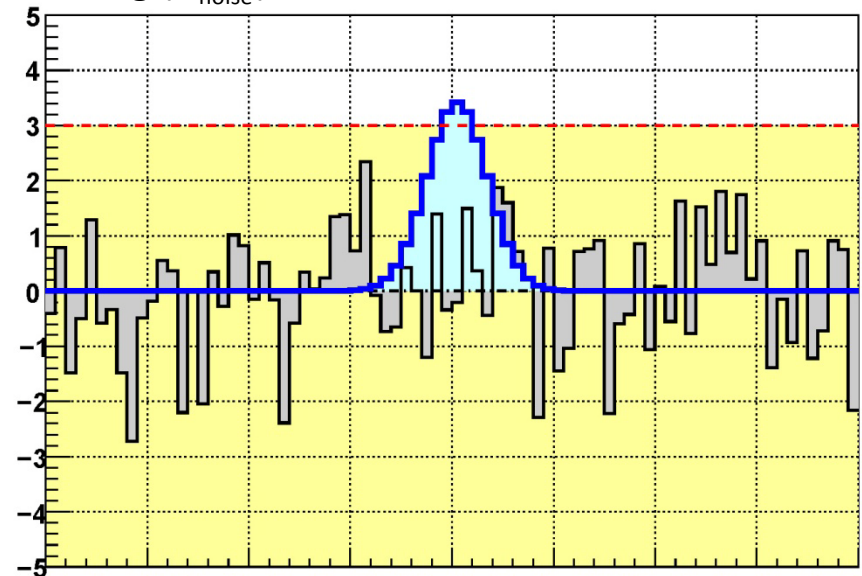
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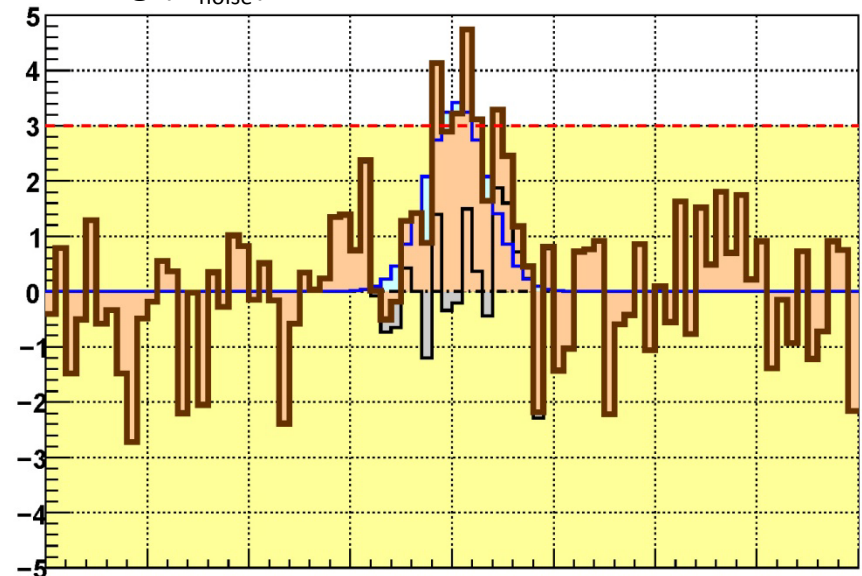
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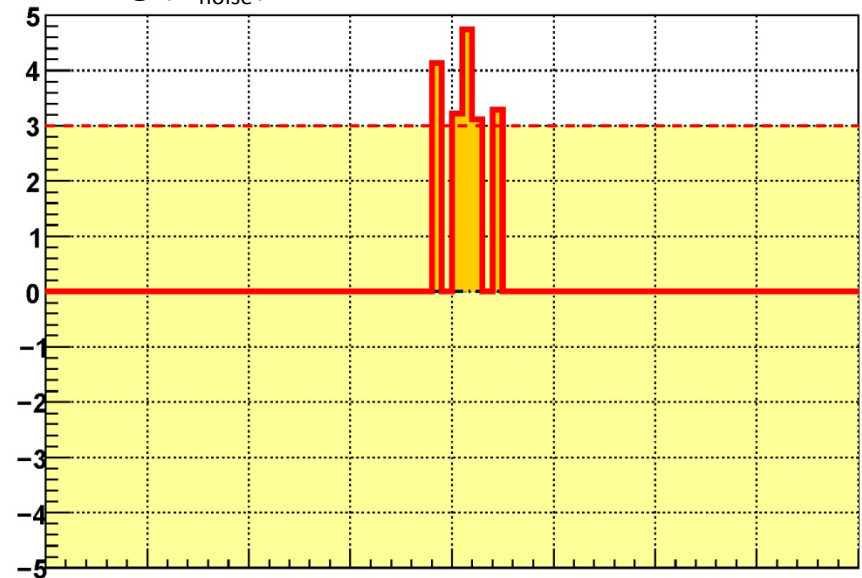
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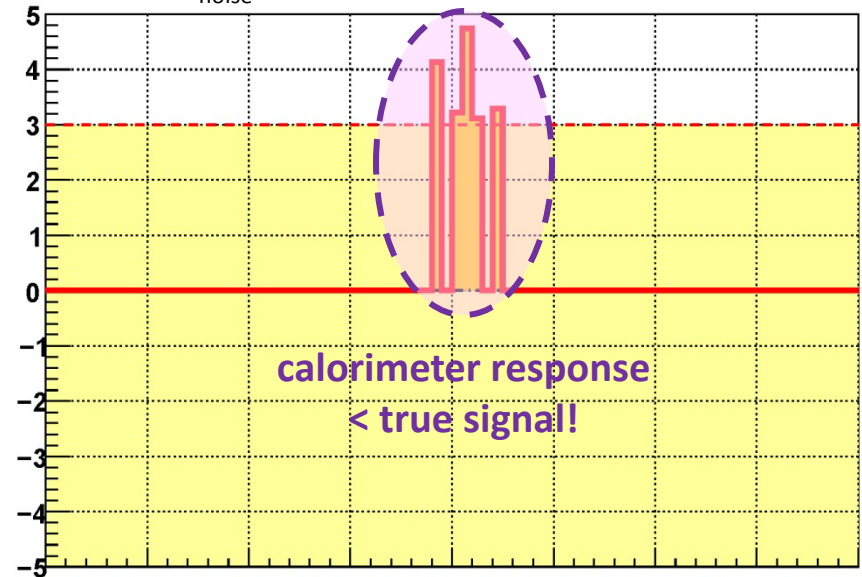
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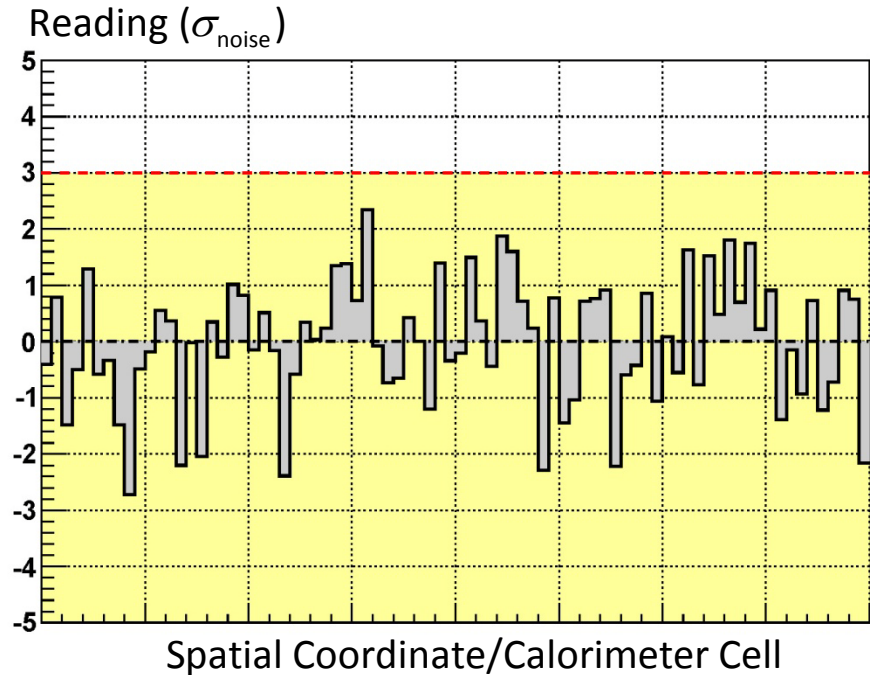
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## Small signal, two particles:

Noise only

Signal on top of noise

Sum of noise and signal

Signal after noise suppression



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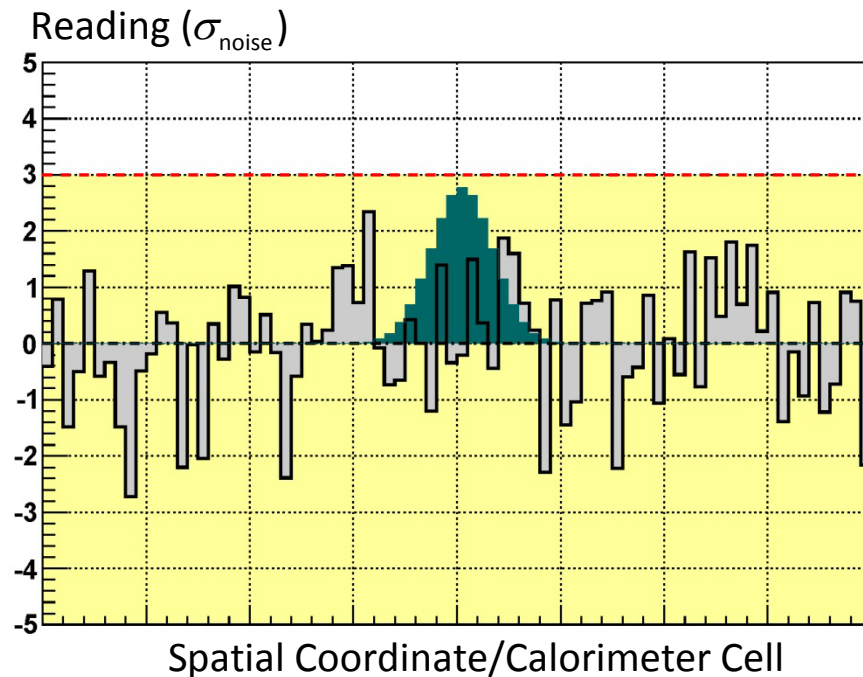
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Gaussian interpretation of pedestal fluctuations

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## Small signal, first particle:

Noise only

Signal on top of noise

Sum of noise and signal

Signal after noise suppression





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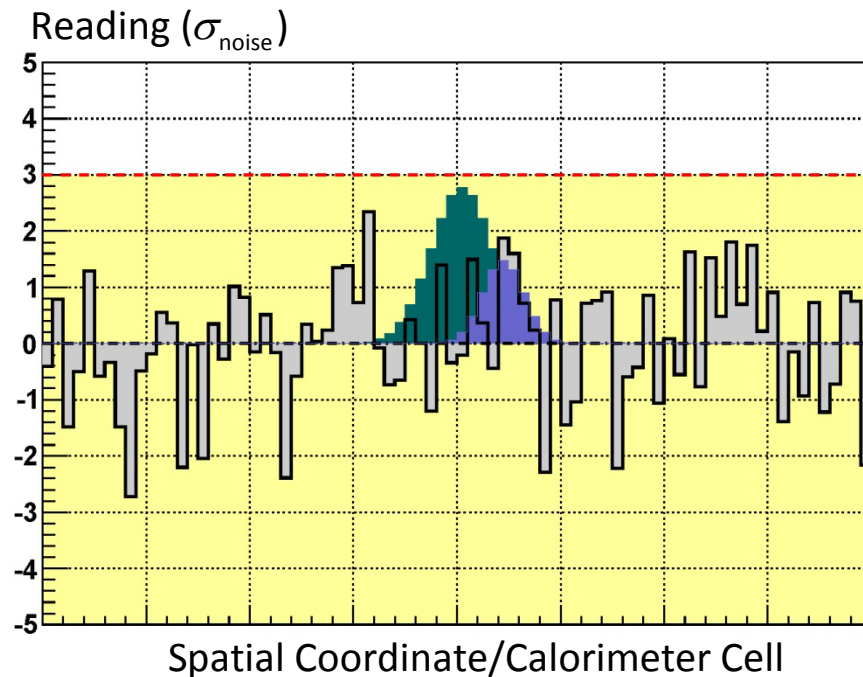
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Gaussian interpretation of pedestal fluctuations

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## Small signal, first and second particle:

Noise only

Signal on top of noise

Sum of noise and signal

Signal after noise suppression



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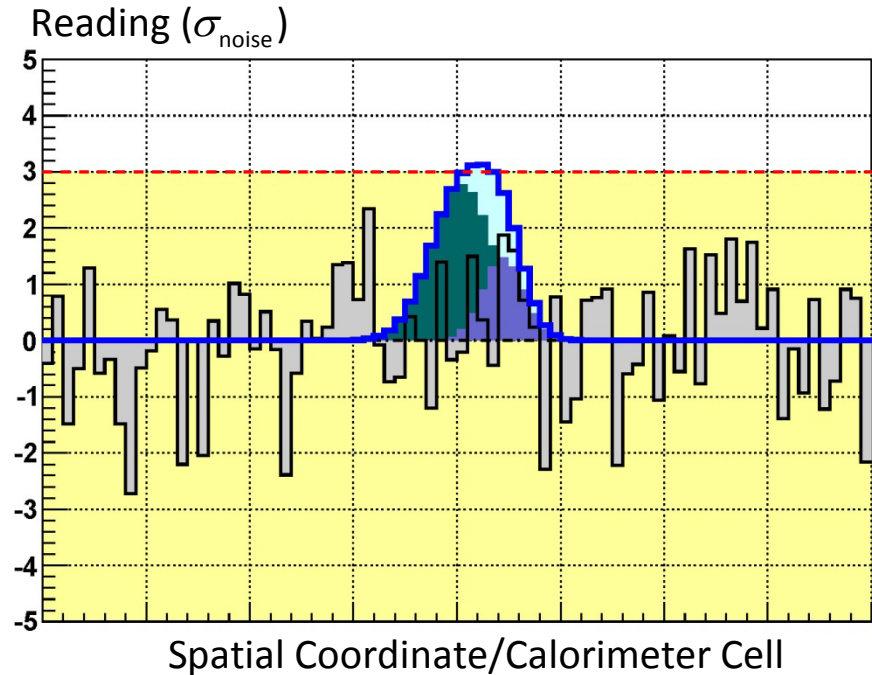
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## Small signal, two particle, sum:

Noise only

Signal on top of noise

Sum of noise and signal

Signal after noise suppression



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Width of distribution ( $1 \sigma$ ) is noise value

## Signal significance

Noise can fake particle signals

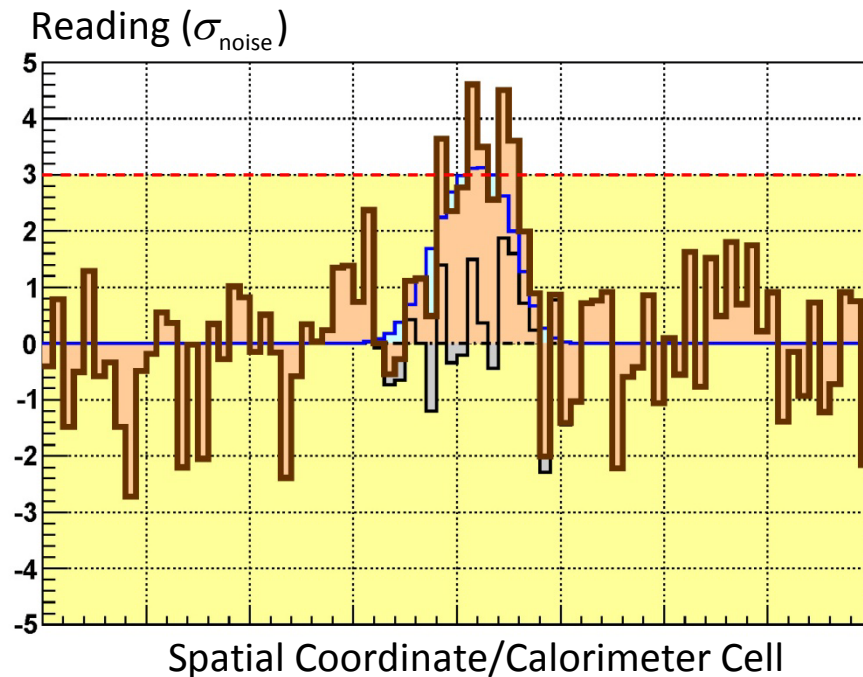
Only signals exceeding noise can be reliably measured

Signals larger than  $3 \times$  noise are very likely from particles

Gaussian interpretation of pedestal fluctuations

Calorimeter signal reconstruction aims to suppress noise

Average contribution = 0, but adds to fluctuations!



## Small signal, two particles:

Noise only

Signal on top of noise

Sum of noise and signal

Signal after noise suppression



## Noise

Fluctuations of the “zero” or “empty” signal reading

Pedestal fluctuations

Independent of the signal from particles

At least to first order

Mostly incoherent

No noise correlations between readout channels

Noise in each channel is independent oscillator

Gaussian in nature

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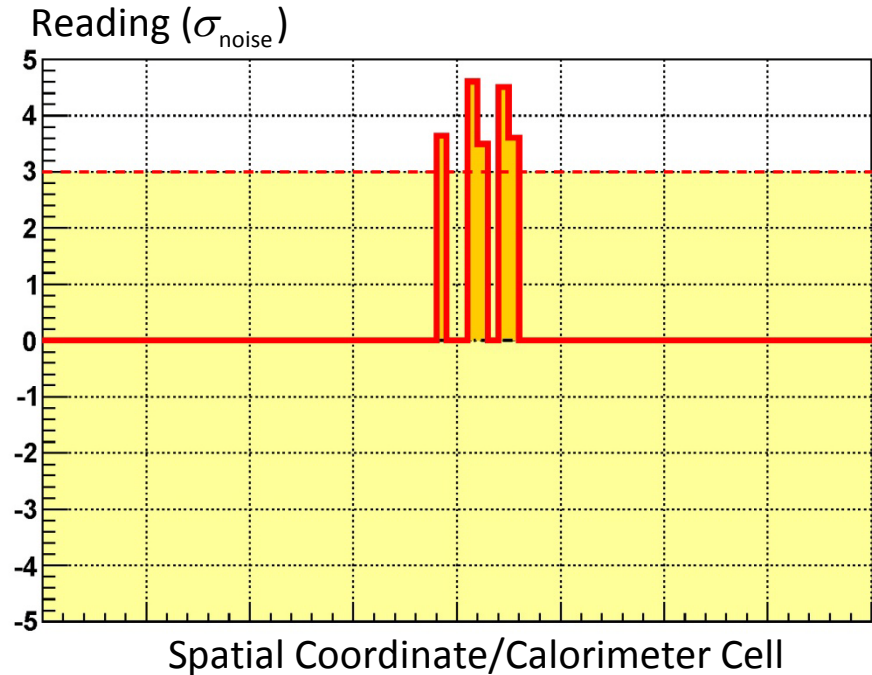
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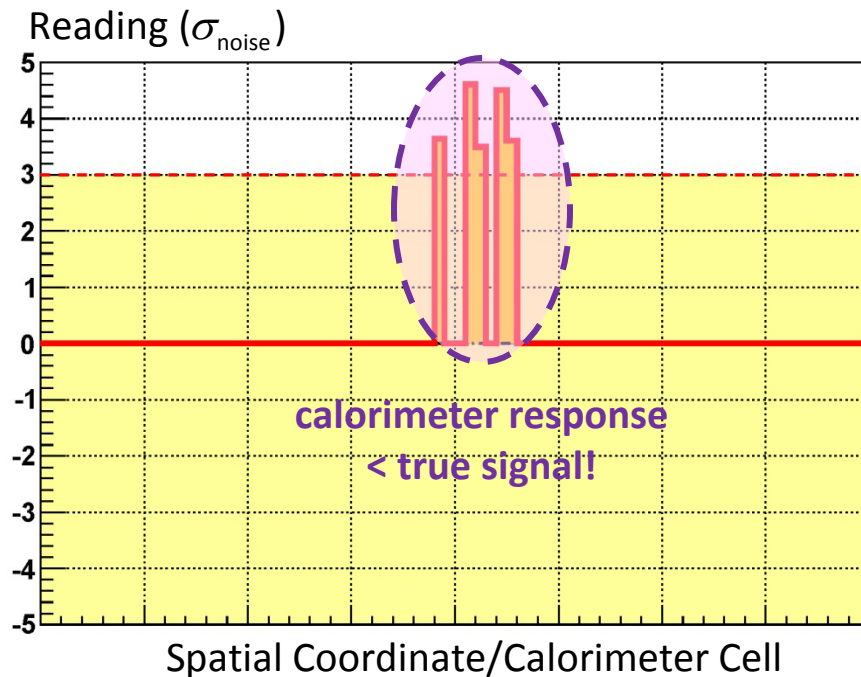
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